

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

09/849,096

Confirmation No.:

1934

**Applicant** 

SOLGAARD, Olav, et al.

Title

MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH

Filed

05/04/2001

TC/A.U.

2874

Examiner

LEE, JOHN D.

Docket No.

UC97-156-8

Cust, No.

8156

Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22213-1450

# REQUEST FOR VERIFICATION OF CONSIDERATION OF INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In response to the Notice of Allowability mailed <u>March 23, 2004</u>, the Applicant respectfully requests verification that the Examiner has considered:

- the Applicant's Electronic Information Disclosure Statement filed on July
   2003, a copy of which is enclosed as Exhibit A;
- the Applicant's Electronic Information Disclosure Statement filed on April
   2004, a copy of which is enclosed as Exhibit B.

Each of the foregoing Information Disclosure Statements was received by the USPTO but the Applicant has not been provided with initialed copies showing that the references were considered.

Please provide the Applicant with verification of consideration of the foregoing Information Disclosure Statements.

Date:

Respectfully submitted,

John P. O'Banion, Reg. No. 33,201

O'BANION & RITCHEY LLP 400 Capitol Mall, Suite 1550 Sacramento, CA 95814

(916) 498-1010

## ELECTRONIC INFORMATION DISCLOSURE STATEMENT

Electronic Version v18 Stylesheet Version v18.0

JUN 0 7 2004

Title of Invention MULTI-WAYELENGTH CROSS-CONNECT OPTICAL **SWITCH** 

Application Number:

09/849096

\*09/849096\*

Confirmation Number:

1934

First Named Applicant:

**OLAV SOLGAARD** 

Attorney Docket Number: UC97-156-8

Art Unit:

2874

Examiner:

John D. Lee

Search string:

(4012147 or 4076421).pn.

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

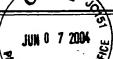
#### **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	4012147	1997-03-15	Walrafen, G.			
	2	4076421	1998-02-28	Kirshner, S.			

## **Signature**

Examiner Name	Date



## UNITED STATES PATENT AND TRADEMARK OFFICE **ACKNOWLEDGEMENT RECEIPT**

Electronic Version 1.1
Stylesheet Version v1.1.1

Title of Invention

MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH

Submission Type:

Information Disclosure Statement

**Application Number:** 

09/849096

\*09/849096\*

EFS ID:

43208

Server Response:

Confirmation Code	Message
ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application
ICON1	1934
ISYS5	Filename= N/A BusinessRule= Validation System/Function Call Information. #Supporting Msg:Server unable to validate the Confirmaton/Application numbers at this time. They will be checked by PTO personnel later.

First Named Applicant:

**OLAV SOLGAARD** 

Attorney Docket Number: UC97-156-8

Timestamp:

2003-07-09 12:42:14 EDT

From:

us

File Listing:

Doc. Name	File Name	Size (Bytes)
us-fee-sheet	ids070903-usfees.xml	1443
us-fee-sheet	us-fee-sheet.xsl	24912
us-fee-sheet	us-fee-sheet.dtd	10901
us-ids	ids070903-usidst.xml	1828
us-ids	us-ids.dtd	7763
us-ids	us-ids.xsl	12026
package-data	ids070903-pkda.xml	2180
package-data	package-data.dtd	27025
package-data	us-package-data.xsl	19263
	107341	

Message Digest:

c2be84ce632eb0f0f57443735d6f9b29835e3f3b

Digital Certificate Holder cn=John P. Obanion,ou=Registered Name:

Attorneys, ou=Patent and Trademark

Office,ou=Department of Commerce,o=U.S.

Government,c=US

## ELECTRONICANT OR MATION DISCLOSURE STATEMENT

Electronic Version v18 Stylesheet Version v18.0

JUN 0 7 2004

Title of Invention MULTI-WAVELENGTH CROSS-CONNECT OPTICAL **SWITCH** 

Application Number:

09/849096

\*09/849096\*

Confirmation Number:

1934

First Named Applicant:

**OLAV SOLGAARD** 

Attorney Docket Number: UC97-156-8

Art Unit:

2874

Examiner:

JOHN D. LEE

Search string:

(4790654).pn.

Certification: This Information Disclosure Statement was submitted under the following conditions, which satisfies the requirement under 37 CFR 1.97(e). The filer certified:

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement.

#### **US Patent Documents**

Note: Applicant is not required to submit a paper copy of cited US Patent Documents

init	Cite.No.	Patent No.	Date	Patentee	Kind	Class	Subclass
	1	4790654	1988-12-13	CLARKE			

# **Signature**

Examiner Name	Date
	·

JUN 0 7 2004

### WNITED STATES PATENT AND TRADEMARK OFFICE **ACKNOWLEDGEMENT RECEIPT**

Electronic Version 1.1
Stylesheet Version v1.1.1

Title of Invention

MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH

Submission Type:

Information Disclosure Statement

Application Number:

09/849096

\*09/849096\*

EFS ID:

58459

Server Response:

Confirmation Code	Message
ISVR1	Submission was successfully submitted - Even if Informational or Warning Messages appear below, please do not resubmit this application
ICON1	1934
ISYS5	Filename= N/A BusinessRule= Validation System/Function Call Information. #Supporting Msg:Server unable to validate the Confirmaton/Application numbers at this time. They will be checked by PTO personnel later.

First Named Applicant:

**OLAV SOLGAARD** 

Attorney Docket Number: UC97-156-8

Timestamp:

2004-04-05 20:24:49 EDT

From:

us

File Listing:

Doc. Name	File Name	Size (Bytes)
us-fee-sheet	971568-usfees.xml	1441
us-fee-sheet	us-fee-sheet.xsl	24912
us-fee-sheet	us-fee-sheet.dtd	11069
us-ids	971568-usidst.xml	1670
us-ids	us-ids.dtd	7763
us-ids	us-ids.xsl	12026
package-data	971568-pkda.xml	2174
package-data	package-data.dtd	27025
package-data	us-package-data.xsl	19263
	107343	

Message Digest:

8653aec03bd970d70971a84d999a96ea90af1cb2

Digital Certificate Holder cn=John P. Obanion,ou=Registered Name: Attorneys,ou=Patent and Trademark

Office,ou=Department of Commerce,o=U.S.

Government,c=US



#### **CERTIFICATION UNDER 37 CFR 1.10**

I hereby certify that the enclosed:

Request for Verification of Consideration of Information Disclosure

Statement with Exhibits A through B

is being deposited with the United States Postal Service on \_\_\_\_\_\_\_ in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number \_\_\_\_\_\_\_ addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

JOHN P. O'BANION

(Type or print name of person mailing paper)

(Signature of person mailing paper)